

JOURNAL OF FUNCTIONAL ANALYSIS 52, 303–314 (1983)

# Irreducible Representations of Infinite-Dimensional Lie Algebras

MIHAI ŞABAC

*Department of Mathematics, University of Bucharest,  
Bucharest, Roumania*

*Communicated by the Editors*

Received February 1981; revised April 1983

Some results concerning the operatorially irreducible, topologically irreducible, operatorially and topologically irreducible representations of a class of infinite-dimensional Lie algebras by bounded operators in a complex Banach space are obtained.

Let  $\mathcal{A}$  be a Lie algebra.  $\mathcal{A}$  is quasisolvable [8, 13] if  $\mathcal{A} = \sum_{\alpha \in A} \mathcal{I}_{\alpha}$ ,  $\mathcal{I}_{\alpha}$  is a finite-dimensional solvable ideal of  $\mathcal{A}$  for any  $\alpha \in A$ . Obviously, a finite-dimensional solvable Lie algebra is quasisolvable; *a quasisolvable Lie algebra may be infinite dimensional*. In [9] we have proved that any completely irreducible representation of a quasisolvable Lie algebra by bounded operators on a complex Banach space  $\mathcal{X}$  is of dimension  $\leq 1$ . (A representation of group or algebra in Banach space  $\mathcal{X}$  is called completely irreducible if its associative hull is weakly dense in the algebra of all bounded operators on  $\mathcal{X}$ .) In [4] Gurarii gives a partial extension of this result proving that each operatorially and topologically irreducible representation of a finite-dimensional Lie algebra by bounded operators on a complex Banach space is finite dimensional. (A representation  $T$  of group or algebra in Banach space  $\mathcal{X}$  is called topologically irreducible if there are no closed invariant to  $T$  subspaces of  $\mathcal{X}$ , different from 0 and  $\mathcal{X}$ . A representation  $T$  of group or algebra in Banach space  $\mathcal{X}$  is called operatorially irreducible if its commutant  $\{T\}'$  in the algebra  $\mathcal{B}(\mathcal{X})$  of all bounded operators on  $\mathcal{X}$ , consists of scalar operators.)

In [10, 11], we have proved some results concerning the topologically irreducible representations of a quasisolvable Lie algebra in a complex Banach space (such a representation by decomposable operators is one-spectral; such a representation by scalar generalized operators has the dimension  $\leq 1$ ). For the concepts of decomposable operator, scalar generalized operator and related matters we refer to [3]. A representation  $T$

is one-spectral if the spectrum of any operator of  $T$  contains only one point. A partial extension of these results for topologically irreducible representations of a finite-dimensional Lie algebra is given in [12].

In what follows, we shall prove an extension of the results of [10, 11], for a class of Lie algebras which may be of infinite dimension. As a particular case we obtain an extension of the above-mentioned results of [9] and [4].

## 1. BASIC DEFINITIONS, EXAMPLES

In what follows, the dimension of the vector spaces is arbitrary; the cases when the dimension is finite will be especially mentioned. We recall some usual concepts and results. If  $\mathcal{A}$  is a Lie algebra and  $\mathcal{J} \subset \mathcal{A}$ , we denote (see [2]),

$$[\mathcal{J}, \mathcal{J}] = \mathcal{J}' = \mathcal{J}^{(1)}, \quad \mathcal{J}^{(n)} = [\mathcal{J}^{(n-1)}, \mathcal{J}^{(n-1)}].$$

A Lie algebra  $\mathcal{A}$  is solvable when  $\mathcal{A}^{(n)} = 0$  for a certain  $n$ . An ideal  $\mathcal{P}$  of  $\mathcal{A}$  is primitive if the following implication is true:

$$\mathcal{J} \text{ ideal in } \mathcal{A}, \quad [\mathcal{J}, \mathcal{J}] \subset \mathcal{P} \Rightarrow \mathcal{J} \subset \mathcal{P}.$$

A primitive ideal of  $\mathcal{A}$  contains any solvable ideal of  $\mathcal{A}$ . A Lie algebra is semisimple if it contains no nonzero solvable ideals. If any ideal of a Lie algebra is primitive, then the Lie algebra is semisimple (indeed, a primitive ideal of a Lie algebra  $\mathcal{L}$  contains any solvable ideal of  $\mathcal{L}$ ; but every ideal of  $\mathcal{L}$  is primitive, in particular  $\{0\}$  is primitive, hence any solvable ideal of  $\mathcal{L}$  is equal to  $\{0\}$ ). If  $\mathcal{L}$  is a semisimple Lie algebra of finite dimension, then every ideal  $\mathcal{J}$  of  $\mathcal{L}$  is semisimple and  $\mathcal{J} = \mathcal{J}'$ ; hence every ideal of a finite-dimensional semisimple Lie algebra is primitive.

Let  $\mathcal{F}$  be a Lie algebra and  $\theta$  a Lie algebra morphism of  $\mathcal{F}$ . The Lie algebra  $\theta(\mathcal{F})$  is semisimple iff  $\ker \theta$  is a primitive ideal of  $\mathcal{F}$ . Indeed, the following implications hold:

$\mathcal{J}$  solvable ideal of  $\theta(\mathcal{F}) \Rightarrow$  there exists  $n \in \mathbb{N}$ , so that  $[\theta^{-1}(\mathcal{J})]^{(n)} \subset \ker \theta$   
 $\mathcal{J}$  ideal of  $\mathcal{F}$ ,  $[\mathcal{J}, \mathcal{J}] \subset \ker \theta \Rightarrow \theta(\mathcal{J})$  is a commutative ideal of  $\theta(\mathcal{F})$ .

**DEFINITION 1.** A morphism  $\theta$  of a Lie algebra  $\mathcal{F}$  is semisimple if  $\theta(\mathcal{F})$  is a semisimple Lie algebra (equivalently  $\ker \theta$  is a primitive ideal of  $\mathcal{F}$ ).

If any ideal of  $\mathcal{F}$  is primitive, then any morphism of  $\mathcal{F}$  is semisimple; every morphism of a finite-dimensional semisimple Lie algebra is semisimple. Every finite-dimensional semisimple representation of a Lie algebra is completely reductive (Weyl's theorem).

Now we define a class of Lie algebras; our purpose is to describe their irreducible representations in a complex Banach space.

**DEFINITION 2.** A Lie algebra  $\mathcal{A}$  is called *LM-decomposable* if we have  $\mathcal{A} = \mathcal{R} + \mathcal{G}$ ,  $\mathcal{R} = \sum_{\alpha \in A} \mathcal{J}_\alpha$ ,  $\mathcal{J}_\alpha$  is finite-dimensional solvable ideal of  $\mathcal{A}$  for any  $\alpha \in A$  and  $\mathcal{G}$  is a Lie algebra so that any ideal of  $\mathcal{G}$  is primitive.

Obviously, every finite-dimensional Lie algebra is *LM-decomposable* (Levi–Malcev theorem); every quasisolvable Lie algebra is *LM-decomposable* ( $\mathcal{G} = \{0\}$ ). Another example of an *LM-decomposable* Lie algebra is given by an ideally finite Lie algebra.

A Lie algebra  $\mathcal{A}$  is called ideally finite [7] if  $\mathcal{A} = \sum_{\alpha \in A} \mathcal{J}_\alpha$  and  $\mathcal{J}_\alpha$  is a finite-dimensional ideal of  $\mathcal{A}$  for any  $\alpha \in A$ . If  $\mathcal{J}_\alpha$  is the solvable radical of  $\mathcal{J}_\alpha$ , then  $\mathcal{J}_\alpha$  is an ideal of  $\mathcal{A}$  and  $\sigma(\mathcal{A}) = \sum_{\alpha \in A} \mathcal{J}_\alpha$  is the unique maximal locally solvable ideal of  $\mathcal{A}$  [7, Proposition 4.1]. It results, as a corollary of [7, Theorem 6.1], that there exists a Levi subalgebra  $\mathcal{G}$  of  $\mathcal{A}$ , hence  $\mathcal{A} = \sum_{\alpha \in A} \mathcal{J}_\alpha + \mathcal{G}$ , where  $\mathcal{G}$  is a semisimple Lie algebra. Obviously,  $\mathcal{G}$  is ideally finite and [7, Theorem 4.8] shows that  $\mathcal{G}$  is a direct sum of finite-dimensional simple ideals. Hence every ideal of  $\mathcal{G}$  is primitive (if  $\mathcal{J}$  is an ideal of  $\mathcal{G}$  we have  $\mathcal{J} = \mathcal{J}'$ , because  $\mathcal{J}$  is direct sum of finite-dimensional simple ideals). Hence, an ideally finite Lie algebra is *LM-decomposable*.

*Remark.* Clearly  $\theta(\mathcal{A})$  is *LM-decomposable* for every *LM-decomposable* Lie algebra  $\mathcal{A}$  and every Lie algebra morphism  $\theta$  of  $\mathcal{A}$ .

The following is similar to the concept of quasisolvable Lie algebra.

**DEFINITION 3.** A Lie algebra  $\mathfrak{N}$  is quasinilpotent if  $\mathfrak{N} = \sum_{\alpha \in A} \mathcal{J}_\alpha$ , where  $\mathcal{J}_\alpha$  is a finite-dimensional ideal of  $\mathfrak{N}$  and  $\text{adx}|_{\mathcal{J}_\alpha}$  is nilpotent for any  $x \in \mathfrak{N}$  and  $\alpha \in A$ .

Obviously, the following assertions are equivalent:

- (n<sub>0</sub>)  $\mathfrak{N}$  is a quasinilpotent Lie algebra,
- (n<sub>1</sub>)  $\mathfrak{N} = \sum_{\alpha \in A} \mathcal{J}_\alpha$ ,  $\mathcal{J}_\alpha$  are finite-dimensional nilpotent ideals of  $\mathfrak{N}$ ,
- (n<sub>2</sub>)  $\mathfrak{N}$  is ideally finite and every finite-dimensional ideal of  $\mathfrak{N}$  is nilpotent,
- (n<sub>3</sub>)  $\mathfrak{N}$  is ideally finite and  $\text{adx}|_{\mathcal{J}}$  is nilpotent for every  $x \in \mathfrak{N}$  and every finite-dimensional ideal  $\mathcal{J}$  of  $\mathfrak{N}$ .

A finite-dimensional quasinilpotent Lie algebra is nilpotent; a quasinilpotent Lie algebra is quasisolvable (hence *LM-decomposable*); a homomorphic image of a quasinilpotent Lie algebra is quasinilpotent. It is easy to prove

**THEOREM 1.** *If  $\mathfrak{N}$  is a quas-nilpotent Lie algebra and  $\mathcal{I} \subset \mathfrak{N}$  is a finite-dimensional ideal of  $\mathfrak{N}$ , then there exist  $\mathcal{I}_0, \mathcal{I}_1, \mathcal{I}_2, \dots, \mathcal{I}_n$  such that  $0 = \mathcal{I}_0 \subset \mathcal{I}_1 \subset \dots \subset \mathcal{I}_k \subset \dots \subset \mathcal{I}_n = \mathcal{I}$ ,  $\dim \mathcal{I}_k = k$ ,  $[\mathfrak{N}, \mathcal{I}_k] \subset \mathcal{I}_{k-1}$  for every  $k = 1, \dots, n$ .*

*Proof.*  $x \rightarrow \text{adx}|_{\mathcal{I}}$  is a morphism of Lie algebras. Because  $\dim \mathcal{I} < +\infty$ , it follows that  $\{\text{adx}|_{\mathcal{I}}; x \in \mathfrak{N}\}$  is a finite-dimensional nilpotent Lie algebra and the proof results by virtue of Engel's classical theorem.

## 2. THE IRREDUCIBLE REPRESENTATIONS OF AN LM-DECOMPOSABLE LIE ALGEBRA BY BOUNDED OPERATORS ON A BANACH SPACE

We begin with a characterisation of the operatorially irreducible representations (particularly completely irreducible) of quas-nilpotent Lie algebras by bounded operators on a complex Banach space.

Let  $\mathcal{X}$  be a complex Banach space,  $\mathcal{B}(\mathcal{X})$  the Lie algebra of all bounded operators on  $\mathcal{X}$  ( $[A, B] = AB - BA$ ). We denote by  $\mathbb{C}$  the field of complex numbers and by  $I$  the identity on  $\mathcal{X}$ .

**THEOREM 2.** *Let  $\mathfrak{N} \subset \mathcal{B}(\mathcal{X})$  be a quas-nilpotent Lie subalgebra of  $\mathcal{B}(\mathcal{X})$ . If the center of  $\mathfrak{N}$  is scalar ( $Z_{\mathfrak{N}} = \{\lambda(z)I\}_{z \in Z_{\mathfrak{N}}}$ ,  $\lambda(z) \in \mathbb{C}$ ), then  $\mathfrak{N}$  is scalar.*

*Proof.* If  $Z_{\mathfrak{N}} = \{0\}$ , then  $\mathfrak{N} = \{0\}$  by virtue of Engel's theorem mentioned before. Let now  $Z_{\mathfrak{N}} = \{\lambda I\}_{\lambda \in \mathbb{C}}$ . Obviously, for any finite-dimensional ideal  $\mathcal{I}$  of  $\mathfrak{N}$ ,  $\mathcal{J} = \mathcal{I} + Z_{\mathfrak{N}}$  is a finite-dimensional solvable ideal of  $\mathfrak{N}$ . There exists by [5], a linear form  $\chi: \mathcal{J} \rightarrow \mathbb{C}$  and  $\{\xi_n\} \subset \mathcal{X}$ ,  $\|\xi_n\| = 1$ , so that

$$\lim_{n \rightarrow \infty} (r\xi_n - \chi(r)\xi_n) = 0 \quad \text{for every } r \in \mathcal{J}. \quad (1)$$

Obviously,  $\chi|_{[\mathcal{J}, \mathcal{J}]} = 0$ . Then it follows  $\chi|_{Z_{\mathfrak{N}} \cap [\mathcal{J}, \mathcal{J}]} = 0$ . But  $Z_{\mathfrak{N}} = \{\lambda I\}_{\lambda \in \mathbb{C}}$ . Hence,  $Z_{\mathfrak{N}} \cap [\mathcal{J}, \mathcal{J}] = 0$ . We obtain  $Z_{\mathfrak{N}} \cap [\mathcal{I}, \mathcal{I}] = 0$  for every finite-dimensional ideal  $\mathcal{I}$  of  $\mathfrak{N}$ , because  $[\mathcal{J}, \mathcal{J}] = [\mathcal{I}, \mathcal{I}]$ . Finally it follows that,

$$Z_{\mathfrak{N}} \cap [\mathfrak{N}, \mathfrak{N}] = 0. \quad (2)$$

Let  $\mathcal{J}_{\alpha} = \mathcal{I}_{\alpha} + Z_{\mathfrak{N}}$  (where  $\mathfrak{N} = \sum_{\alpha \in \Lambda} \mathcal{I}_{\alpha}$ ; see Definition 3). We have by (2),

$$Z_{\mathfrak{N}} \cap [\mathcal{J}_{\alpha}, \mathfrak{N}] = 0.$$

Then there exists the following decomposition of  $\mathcal{F}_\alpha$  in a direct sum of vector spaces:

$$\mathcal{F}_\alpha = Z_{\mathfrak{N}} \oplus \mathcal{F}_{\alpha_1}, \quad \mathcal{F}_\alpha \supset \mathcal{F}_{\alpha_1} \supset [\mathcal{F}_\alpha, \mathfrak{N}]. \quad (3)$$

The inclusion  $\mathcal{F}_{\alpha_1} \supset [\mathcal{F}_\alpha, \mathfrak{N}]$ , shows that  $\mathcal{F}_{\alpha_1}$  is an ideal of  $\mathfrak{N}$ ;  $Z_{\mathcal{F}_{\alpha_1}}$ , the center of  $\mathcal{F}_{\alpha_1}$ , is invariant to any derivation of  $\mathcal{F}_{\alpha_1}$ . But  $\mathfrak{N}$  is a quasiniptotent Lie algebra; hence  $adx|Z_{\mathcal{F}_{\alpha_1}}$  is nilpotent for any  $x \in \mathfrak{N}$ . If  $Z_{\mathcal{F}_{\alpha_1}} \neq 0$ , it follows by Engel's classical theorem ( $\dim Z_{\mathcal{F}_{\alpha_1}} < +\infty$ ) that there exists  $r_1$  such that  $0 \neq r_1 \in Z_{\mathcal{F}_{\alpha_1}}$  and  $adx(r_1) = 0$  for any  $x \in \mathfrak{N}$ . By (3) we deduce  $r_1 \notin Z_{\mathfrak{N}}$ ; so, we can find  $r_1$  such that  $0 \neq r_1 \in Z_{\mathfrak{N}}$  and  $r_1 \notin Z_{\mathfrak{N}}$ , contradictory. Hence  $Z_{\mathcal{F}_{\alpha_1}} = 0$ , so that  $\mathcal{F}_{\alpha_1} = 0$  by virtue of Engel's theorem. Then, by (3) we have  $\mathcal{F}_\alpha = Z_{\mathfrak{N}}$  for every  $\alpha \in A$ , so that  $\mathfrak{N} = Z_{\mathfrak{N}}$  and the proof is finished.

As a corollary, we obtain

**THEOREM 2'.** *Each operatorially irreducible representation of a quasiniptotent Lie algebra by bounded operators in a complex Banach space is scalar ( $\rho(x) = \lambda(x)I$ ,  $\lambda(x) \in \mathbb{C}$ ).*

In the following some results will be given concerning the irreducible *LM*-decomposable Lie subalgebras in  $\mathcal{B}(\mathcal{X})$ ; these results lead in an obvious manner to the results concerning the irreducible representations of an *LM*-decomposable Lie algebra by bounded operators in a complex Banach space. The following results will be used in the proofs:

**LEMMA 1.** *If  $A, B \in \mathcal{B}(\mathcal{X})$ ,  $[A, B] = \lambda B$ ,  $\lambda \neq 0$ , then  $B$  is nilpotent.*

The proof is well known (see, e.g., [9]).

**LEMMA 2.** *If  $\mathfrak{A}$  is a Lie subalgebra of  $\mathcal{B}(\mathcal{X})$ ,  $\mathcal{C}$  is an ideal of  $\mathfrak{A}$  and  $\mathcal{A} = \{Q \in \mathcal{C} \mid Q \text{ nilpotent}\} \subset Z_{\mathcal{C}}$  (the center of  $\mathcal{C}$ ), then  $\mathcal{A}$  is an ideal of  $\mathfrak{A}$  (in particular, if  $\mathcal{C}$  is commutative, then  $\mathcal{A}$  is an ideal of  $\mathfrak{A}$ ).*

*Proof.* Because  $\mathcal{A}$  is commutative it follows that  $\mathcal{A}$  is a vector space. For  $X \in \mathfrak{A}$  and  $Q \in \mathcal{A}$ , we have

$$[X, Q] = A, \quad [A, Q] = 0,$$

because  $\mathcal{C}$  is an ideal and  $\mathcal{A} \subset Z_{\mathcal{C}}$ . Then, by [11, Propositions 1], it follows that  $A$  is nilpotent.

**LEMMA 3.** *Let  $\mathfrak{A}$  be a Lie subalgebra of  $\mathcal{B}(\mathcal{X})$  and  $\mathcal{I}$  a finite-dimensional ideal of  $\mathfrak{A}$ , so that  $adx|_{\mathcal{I}}$  is nilpotent for every  $X \in \mathfrak{A}$ . Then, one of the following assertions is true:*

- (i)  $[Q, \mathcal{J}] = 0$  for every  $Q$  nilpotent,  $Q \in \mathfrak{A}$ ,
- (ii) there exists a  $Q_{\mathcal{J}}$  nilpotent,  $0 \neq Q_{\mathcal{J}} \in Z_{\mathfrak{A}} \cap \mathcal{J}$ .

This lemma is [11, Proposition 2].

**PROPOSITION 1.** *Let  $\mathfrak{A}$  be a Lie subalgebra of  $\mathcal{B}(\mathcal{H})$  and  $\{\mathcal{J}_n\}$  an increasing sequence of ideals of  $\mathfrak{A}$ ,  $\dim \mathcal{J}_n = n$  for every  $n$ ; then for every  $n$ , one of the following assertions is true:*

- (j)  $adX|_{\mathcal{J}_n}$  is nilpotent for every  $X \in \mathfrak{A}$ ,
- (jj) there exists an  $\mathcal{N}$ ,  $0 \neq \mathcal{N} \subset [\mathfrak{A}, \mathcal{J}_n]$ , a commutative ideal of  $\mathfrak{A}$  so that every  $A \in \mathcal{N}$  is a nilpotent operator,
- (jjj) there exists a  $Q$ ,  $0 \neq Q \in \mathcal{J}_n \cap Z_{\mathfrak{A}}$ ,  $Q$  nilpotent.

*Proof.* The proof is an adaptation of the proof of [11, Proposition 3]. The conclusion is true for  $n = 1$ . Indeed, if  $A_1 \in \mathfrak{A}$ ,  $\mathbb{C}A_1 = \mathcal{J}_1$ , then  $[X, A_1] = \lambda(X)A_1$ ,  $\lambda(X) \in \mathbb{C}$  for any  $X \in \mathfrak{A}$ . If (j) and (jjj) are not true for  $n = 1$ , there exists  $X \in \mathfrak{A}$  so that  $\lambda(X) \neq 0$ . Hence  $A_1$  is nilpotent in virtue of Lemma 1 and  $\mathcal{N} = \mathcal{J}_1$  verifies (jj).

Now let us suppose the conclusion of the proposition true for  $n$ . It will be proved that it is true for  $n + 1$ . The following case remains to be studied: (j) holds for  $\mathcal{J}_n$ , (jj) and (jjj) are not true for any  $k$ ,  $1 \leq k \leq n$ . In this case, let  $A_{n+1}$  be so that  $\mathcal{J}_n + \mathbb{C}A_{n+1} = \mathcal{J}_{n+1}$ . For any  $X \in \mathfrak{A}$  we can write,

$$[X, A_{n+1}] = B_n + \lambda_{n+1}(X)A_{n+1}, \quad B_n \in \mathcal{J}_n, \lambda_{n+1}(X) \in \mathbb{C}. \quad (4)$$

The equality  $\lambda_{n+1}(X) = 0$ , for any  $X \in \mathfrak{A}$ , shows that (j) holds for  $\mathcal{J}_{n+1}$ . Only one case remains to be studied, namely, the case when there exists  $X_0 \in \mathfrak{A}$  so that  $\lambda_{n+1}(X_0) \neq 0$ . Here, from (4) and by the induction hypothesis we deduce

$$0 \neq (adX_0)^{n+1}(A_{n+1}) = \lambda_{n+1}(X_0)(adX_0)^n(A_{n+1}) \notin \mathcal{J}_n.$$

Hence, by Lemma 1,  $A'_{n+1} = (adX_0)^n(A_{n+1})$  is nilpotent. Obviously,  $0 \neq A'_{n+1} \notin \mathcal{J}_n$ . Because (jjj) is not true for  $\mathcal{J}_n$ , one obtains by Lemma 3 the following implication:

$$Q \in \mathfrak{A}, Q \text{ nilpotent} \Rightarrow Q \text{ commutes with } \mathcal{J}_n.$$

Particularly,  $A'_{n+1}$  commutes with  $\mathcal{J}_n$ , hence  $A'_{n+1}$  commutes with  $\mathcal{J}_{n+1}$  ( $\mathcal{J}_{n+1} = \mathcal{J}_n + \mathbb{C}A'_{n+1}$ , because  $A'_{n+1} \notin \mathcal{J}_n$ ). It follows that  $Q$  commutes with  $A'_{n+1}$  for any  $Q \in \mathcal{J}_{n+1}$ ,  $Q$  nilpotent. The above implication shows that  $Q$  commutes with  $\mathcal{J}_n$ . Hence

$$Q \in \mathcal{J}_{n+1}, Q \text{ nilpotent} \Rightarrow Q \text{ commutes with } \mathcal{J}_{n+1}.$$

Then,

$$\mathcal{A}' = \{Q \mid Q \in [\mathfrak{A}, \mathcal{J}_{n+1}], Q \text{ nilpotent}\} \subset Z_{[\mathfrak{A}, \mathcal{J}_{n+1}]}.$$

Therefore  $0 \neq \mathcal{A}'_{n+1} \in \mathcal{A}'$ , hence  $\mathcal{A}' \neq 0$ . From Lemma 2, we deduce that  $\mathcal{A}'$  is a commutative ideal of  $\mathfrak{A}$ , because  $[\mathfrak{A}, \mathcal{J}_{n+1}]$  is an ideal of  $\mathfrak{A}$ . Hence we found  $\mathcal{A}'$ ,  $0 \neq \mathcal{A}' \subset [\mathfrak{A}, \mathcal{J}_{n+1}]$  a commutative ideal of  $\mathfrak{A}$ , consisting of nilpotent operators; (jj) is true for  $n+1$  and the proposition is proved.

As a corollary we have

**PROPOSITION 2.** *Let  $\mathcal{R} \subset \mathcal{B}(\mathcal{X})$  be a quasisolvable Lie subalgebra of  $\mathcal{B}(\mathcal{X})$ , i.e.,  $\mathcal{R} = \sum_{\alpha \in \Lambda} \mathcal{J}_\alpha$  for solvable ideals  $\mathcal{J}_\alpha$ . Then one of the following statements is true for any  $\alpha \in \Lambda$ :*

- (I)  *$adX|_{\mathcal{J}_\alpha}$  is nilpotent for every  $X \in \mathcal{R}$ ,*
- (II) *there exists  $\mathcal{A}'$ ,  $0 \neq \mathcal{A}' \subset [\mathcal{R}, \mathcal{J}_\alpha]$ ,  $\mathcal{A}'$  is a commutative ideal of  $\mathfrak{A}$ , consisting of nilpotent operators,*
- (III) *there exists  $Q$ ,  $Q$  nilpotent,  $0 \neq Q \in \mathcal{J}_\alpha \cap Z_{\mathcal{R}}$ .*

*Proof.* As a consequence of Lie's classical theorem, for every  $\alpha \in \Lambda$  we can find

$$0 = \mathcal{J}_0^\alpha \subset \mathcal{J}_1^\alpha \subset \dots \subset \mathcal{J}_k^\alpha \subset \dots \subset \mathcal{J}_{n_\alpha}^\alpha = \mathcal{J}_\alpha,$$

$\mathcal{J}_k^\alpha$  ideal of  $\mathcal{R}$ ,  $\dim \mathcal{J}_k^\alpha = k$ , for every  $k$  and the proof is a result of Proposition 1.

Let now  $\mathfrak{A}$  be an LM-decomposable Lie subalgebra of  $\mathcal{B}(\mathcal{X})$ . Let the decomposition of  $\mathfrak{A}$  be  $\mathfrak{A} = \mathcal{R} + \mathcal{G}$ ,  $\mathcal{R} = \sum_{\alpha \in \Lambda} \mathcal{J}_\alpha$  as in Definition 2. We denote,

$$\mathfrak{A}_\alpha = ad\mathfrak{A}|_{\mathcal{J}_\alpha} = \{adX|_{\mathcal{J}_\alpha}; X \in \mathfrak{A}\}, \quad \mathcal{R}_\alpha = ad\mathcal{R}|_{\mathcal{J}_\alpha}.$$

Because  $\dim \mathfrak{A}_\alpha < +\infty$ ,  $\dim \mathcal{R}_\alpha < +\infty$  and  $\mathcal{R}_\alpha$  is a solvable ideal of  $\mathfrak{A}_\alpha$ , it is well known that the nil-radical of the associative algebra generated by  $\mathfrak{A}_\alpha$  contains  $[\mathfrak{A}_\alpha, \mathcal{R}_\alpha]$  (see [2, Corollary 2, Theorem 8, Section 5, Chap. II]). Then it is easy to show that  $[\mathcal{J}_\alpha, \mathfrak{A}]$  is a finite-dimensional nilpotent ideal of  $\mathfrak{A}$ . We denote,

$$\mathcal{B}_\alpha = [\mathcal{J}_\alpha, \mathfrak{A}] \subset \mathcal{J}_\alpha$$

and let  $\eta$  be the semisimple representation of  $\mathcal{G}$  given by  $g \rightarrow adg|_{\mathcal{J}_\alpha}$  (by virtue of Definition 2 every ideal of  $\mathcal{G}$  is primitive, hence every morphism of  $\mathcal{G}$  is semisimple). The Lie algebra  $\{adg|_{\mathcal{J}_\alpha}; g \in \mathcal{G}\}$  is of finite dimension

and semisimple. According to Weyl's theorem,  $\mathcal{B}_\alpha$  splits into the direct sum,

$$\mathcal{B}_\alpha = \mathcal{B}_\alpha^0 + \mathcal{B}_\alpha^1.$$

$\mathcal{B}_\alpha^0, \mathcal{B}_\alpha^1$  vector spaces which are invariant to  $adg$  for any  $g \in \mathcal{G}$ ,  $adg|_{\mathcal{B}_\alpha^0} = 0$  for any  $g \in \mathcal{G}$  and  $\bigcap_{g \in \mathcal{G}} \ker(adg|_{\mathcal{B}_\alpha^1}) = 0$ .

Let  $Z_\alpha$  be the center of  $\mathcal{B}_\alpha$ . By Lemma 3 one of the following statements is true:

- (1)  $Q \in Z_\alpha$  for every  $Q \in \mathcal{B}_\alpha$ ,  $Q$  nilpotent,
- (2) there exists  $Q$  nilpotent,  $0 \neq Q \in Z_\alpha$ .

Obviously there are two possibilities:  $\mathcal{B}_\alpha^1 \neq 0$  or  $\mathcal{B}_\alpha^1 = 0$ . If  $\mathcal{B}_\alpha^1 \neq 0$ , then Lemma 1 shows that there exists in  $\mathcal{B}_\alpha^1$  nonzero nilpotent operators. In this case, (1) or (2) shows that there exists nonzero nilpotent operators in the center of  $\mathcal{B}_\alpha$ . Obviously  $Z_\alpha$  is an ideal of  $\mathfrak{A}$  and by Lemma 2 we deduce that

$$\mathcal{N}_\alpha = \{Q \in Z_\alpha \mid Q \text{ nilpotent}\}$$

is an ideal of  $\mathfrak{A}$ ,  $\mathcal{N}_\alpha \neq 0$ . Hence  $\mathcal{B}_\alpha^1 \neq 0$  implies the following assertion:

*there exists a finite-dimensional commutative nonzero ideal of  $\mathfrak{A}$  consisting of nilpotent operators.* (N)

If  $\mathcal{B}_\alpha^1 = 0$ , we have  $\mathcal{B}_\alpha = \mathcal{B}_\alpha^0$ ; hence,

$$[\mathcal{G}, [\mathfrak{A}, \mathcal{I}_\alpha]] = 0. \quad (5)$$

since  $\mathcal{R}$  is quasisolvable, there follows by Proposition 2 one of the following statements:

- (a)  $adX|_{\mathcal{I}_\alpha}$  is nilpotent for every  $X \in \mathcal{R}$ ,
- (b) there exists  $\mathcal{N}$ , a commutative ideal of  $\mathcal{R}$ , consisting of nilpotent operators,  $0 \neq \mathcal{N} \subset [\mathcal{R}, \mathcal{I}_\alpha]$ ,
- (c) there exists  $Q$  nilpotent,  $0 \neq Q \in Z_{\mathcal{R}} \cap \mathcal{I}_\alpha$ .

If (a) holds we deduce by Lemma 3 one of the following assertions:

- (a<sub>1</sub>)  $[Q, \mathcal{I}_\alpha] = 0$  for any  $Q \in \mathcal{R}$ ,  $Q$  nilpotent,
- (a<sub>2</sub>) there exists  $Q$  nilpotent,  $0 \neq Q \in Z_{\mathcal{R}} \cap \mathcal{I}_\alpha$ .

Hence  $\mathcal{B}_\alpha^1 = 0$  implies one of the assertions (a<sub>1</sub>), (b), (c). If (b) holds, we deduce by (5) that  $\mathcal{N}$  is an ideal of  $\mathfrak{A}$ ; hence we have (N). If (c) holds, we deduce by Lemma 2 that  $0 \neq \{Q \mid Q \text{ nilpotent}, Q \in Z_{\mathcal{R}}\}$  is a finite-dimensional ideal of  $\mathfrak{A}$ ; hence we have (N). We obtain for a fixed  $\alpha \in \mathcal{A}$ , two possibilities: either (N) holds, or  $[\mathcal{G}, [\mathfrak{A}, \mathcal{I}_\alpha]] = 0$ ,  $\mathcal{R}$  verifies (a<sub>1</sub>),  $adX|_{\mathcal{I}_\alpha}$  is nilpotent for any  $X \in \mathcal{R}$ . Then, for  $\mathfrak{A}$  we have the following two



possibilities: assertion (N) holds, or for any  $\alpha \in A$  we have  $[\mathcal{F}, [\mathfrak{A}, \mathcal{I}_\alpha]] = 0$ .  $\mathcal{R}$  verifies (a<sub>1</sub>) and  $\text{ad}X|_{\mathcal{I}_\alpha}$  is nilpotent for any  $X \in \mathcal{R}$ . In the second case we can eliminate the situation when  $\mathcal{R}$  contains nonzero nilpotent operators. Indeed, by (a<sub>1</sub>) for any  $\alpha \in A$  we can find  $\mathcal{I} = \sum_{k=1}^p \mathcal{I}_{\alpha_k}$  a finite-dimensional ideal of  $\mathfrak{A}$  so that  $Z_{\mathcal{R}} \cap \mathcal{I}$  contains nonzero nilpotent operators and by Lemma 2,

$$0 \neq \{Q \mid Q \text{ nilpotent}, Q \in Z_{\mathcal{I}}\}$$

is a finite-dimensional ideal of  $\mathfrak{A}$ , hence (N) holds. If  $\mathcal{R}$  contains no nonzero nilpotent operators we obtain  $[\mathcal{F}, \mathcal{I}_\alpha] = 0$  for any  $\alpha \in A$ . Indeed, we can write by virtue of the semisimplicity of the representation  $g \rightarrow \text{ad}g|_{\mathcal{I}_\alpha}$ ,  $g \in \mathcal{F}$ ,  $\mathcal{I}_\alpha = \mathcal{I}_\alpha^0 + \mathcal{I}_\alpha^1$ ,  $\text{ad}g|_{\mathcal{I}_\alpha^0} = 0$  for any  $g \in \mathcal{F}$  and  $\bigcap_{g \in \mathcal{F}} \ker(\text{ad}g|_{\mathcal{I}_\alpha^1}) = 0$ . Since  $\mathcal{R}$  contains no nonzero nilpotent operators, by Lemma 1 we deduce  $\mathcal{I}_\alpha^1 = 0$ , so  $[\mathcal{F}, \mathcal{I}_\alpha] = 0$  for any  $\alpha \in A$ . We obtain

**THEOREM 3.** *If  $\mathfrak{A} \subset \mathcal{B}(\mathcal{X})$  is an LM-decomposable Lie subalgebra of  $\mathcal{B}(\mathcal{X})$ , and  $\mathfrak{A} = \mathcal{R} + \mathcal{F}$  is a decomposition of  $\mathfrak{A}$ , then one of the following statements is true:*

- (I) *there exists  $\mathcal{A}$ , a finite-dimensional commutative nonzero ideal of  $\mathfrak{A}$ , consisting of nilpotent operators,*
- (II)  *$[\mathcal{F}, \mathcal{R}] = 0$ ,  $\mathcal{R}$  is a quasiniptotent Lie algebra and  $\mathcal{R}$  contains no nonzero nilpotent operators.*

In particular, this theorem is true for ideally finite Lie algebras. On the other hand, we obtain in case (I) a closed subspace of  $\mathcal{X}$  which is invariant to  $\mathfrak{A}$ :  $0 \neq \mathcal{X}_0 = \bigcap_{Q \in \mathcal{A}} \ker Q \neq \mathcal{X}$ ,  $\mathcal{X}_0 = \bar{\mathcal{X}}_0$ ,  $A\mathcal{X}_0 \subset \mathcal{X}_0$  for any  $A \in \mathfrak{A}$ . Clearly we obtain the following irreducible variant of Theorem 3:

**THEOREM 3'.** *If  $\mathfrak{A} \subset \mathcal{B}(\mathcal{X})$  is an LM-decomposable Lie subalgebra of  $\mathcal{B}(\mathcal{X})$  which is topologically irreducible and  $\mathfrak{A} = \mathcal{R} + \mathcal{F}$  is a decomposition, then  $\mathcal{R}$  is a quasiniptotent Lie algebra,  $\mathcal{R}$  contains no nonzero nilpotent operators, and  $[\mathcal{F}, \mathcal{R}] = 0$ .*

By Theorems 3' and 2 we deduce the following theorem which contains [9, Theorem 1; 4, Theorem 2].

**THEOREM 4.** *If  $\mathfrak{A} \subset \mathcal{B}(\mathcal{X})$  is an LM-decomposable, topologically and operatorially irreducible Lie subalgebra of  $\mathcal{B}(\mathcal{X})$ , then  $\mathfrak{A} = \mathcal{F}$  or  $\mathfrak{A} = \mathbb{C}I + \mathcal{F}$ , where  $\mathcal{F}$  is a semisimple Lie algebra.*

*Proof.* Let  $\mathfrak{A} = \mathcal{R} + \mathcal{F}$  be a decomposition of  $\mathfrak{A}$  given by Definition 2. Because  $\mathfrak{A}$  is topologically irreducible, we deduce by Theorem 3'.  $[\mathcal{F}, \mathcal{R}] = 0$  and  $\mathcal{R}$  is a quasiniptotent Lie algebra. Obviously  $Z_{\mathcal{R}} \subset Z_{\mathfrak{A}}$ . But  $\mathfrak{A}$  is operatorially irreducible, hence  $Z_{\mathcal{R}} = 0$  or  $Z_{\mathcal{R}} = \mathbb{C}I$ . By Theorem 2 we

obtain  $\mathcal{R} = 0$  or  $\mathcal{R} = \mathbb{C}I$ , because  $\mathcal{R}$  is a quasinilpotent Lie algebra. This theorem is true for an ideally finite Lie algebra; when  $\dim \mathfrak{U} < +\infty$ , this theorem is [4, Theorem 2].

### 3. TOPOLOGICALLY IRREDUCIBLE REPRESENTATIONS OF LM-DECOMPOSABLE LIE ALGEBRAS BY BOUNDED OPERATORS WITH SPECIAL SPECTRAL PROPERTIES

In what follows, the operators with special spectral properties will be decomposable operators, scalar generalized operators [3] and compact operators.

**THEOREM 5.** *Let  $\mathfrak{U} \subset \mathcal{B}(\mathcal{H})$  be an LM-decomposable Lie subalgebra of  $\mathcal{B}(\mathcal{H})$ , and  $\mathfrak{U} = \mathcal{R} + \mathcal{S}$  a decomposition of  $\mathfrak{U}$ . If  $\mathcal{R}$  contains only decomposable operators, then one of the following assertions is true:*

(I) *there exists a nonzero commutative finite-dimensional ideal of  $\mathfrak{U}$ , consisting of nilpotent operators,*

(II<sub>1</sub>) *there exists an  $A \in \mathcal{R}$  with nontrivial maximal spectral spaces which are invariant to  $\mathfrak{U}$ ,*

(II<sub>2</sub>)  *$[\mathcal{S}, \mathcal{R}] = 0$ ;  $\mathcal{R}$  is a quasinilpotent Lie algebra,  $\mathcal{R}$  contains no nonzero nilpotent operators and  $\mathcal{R}$  is one spectral.*

We recall that a subset  $\mathfrak{M} \subset \mathcal{B}(\mathcal{H})$  is one-spectral if the spectrum of any operator of  $\mathfrak{M}$  contains only one point.

*Proof.* Assertion (II) of Theorem 3, Section 2, splits into (II<sub>1</sub>) and (II<sub>2</sub>) by virtue of Proposition 3.2, Chapter I and Lemma 4.3, Chapter II of [3], because the operators of  $\mathcal{R}$  are decomposable. Obviously, the topologically irreducible variant of this theorem is clear.

The nilpotence property of the quasinilpotent scalar generalized operators leads to

**THEOREM 6.** *Let  $\mathfrak{U} \subset \mathcal{B}(\mathcal{H})$  be an LM-decomposable Lie subalgebra of  $\mathcal{B}(\mathcal{H})$ , and  $\mathfrak{U} = \mathcal{R} + \mathcal{S}$  a decomposition of  $\mathfrak{U}$ . If the center of  $\mathcal{R}$  contains only scalar generalized operators, then one of the following assertions is true:*

(I) *there exists a nonzero commutative finite-dimensional ideal of  $\mathfrak{U}$ , consisting of nilpotent operators,*

(II<sub>1</sub>) *there exists  $A \in Z_{\mathcal{R}}$  with nontrivial maximal spectral spaces which are invariant to  $\mathfrak{U}$ ,*

(II'')  *$\mathcal{R}$  is scalar ( $\mathcal{R} = \{\lambda(r)I\}_{r \in \mathcal{R}}, \lambda(r) \in \mathbb{C}$ ).*

*Proof.* By virtue of Theorem 3, Section 2, it remains to study the case when assertion (II) is true. We have  $0 \neq Z_{\mathcal{A}} \subset Z_{\mathfrak{A}}$  or  $\mathcal{A} = 0$  because  $\mathcal{A}$  is a quasiniptent Lie algebra and  $[\mathcal{E}, \mathcal{A}] = 0$ . If  $\mathcal{A} \neq 0$ , there are two possibilities:

(a) there exists an  $r \in Z_{\mathcal{A}}$  so that the spectrum  $\sigma(r)$  contains at least two points,

(b)  $\sigma(r) = \{\lambda(r)\}$ ,  $\lambda(r) \in \mathbb{C}$  for any  $r \in Z_{\mathcal{A}}$ .

If (a), we have (II<sub>1</sub>) as in the proof of the preceding theorem. If (b), we can write

$$r = \lambda(r)I + Q_r; \quad \lambda(r) \in \mathbb{C}, Q_r \text{ nilpotent operator, for any } r \in Z_{\mathcal{A}}.$$

If there exists an  $r \in Z_{\mathcal{A}}$  with  $Q_r \neq 0$ , then we have (I). Hence it remains to study the case when  $Z_{\mathcal{A}} = \{\lambda(r)I\}_{r \in Z_{\mathcal{A}}}$ . But in this case Theorem 2, Section 2 shows that  $\mathcal{A} = \{\lambda(r)I\}_{r \in \mathcal{A}}$ ,  $\lambda(r) \in \mathbb{C}$ ; hence we have (II'').

The irreducible variant of this theorem is

**THEOREM 6'.** *Let  $\mathfrak{A} \subset \mathcal{B}(\mathcal{X})$  be an LM-decomposable Lie subalgebra of  $\mathcal{B}(\mathcal{X})$ . If the operators of  $Z_{\mathcal{A}}$  (the center of the solvable part of  $\mathfrak{A}$ ) are scalar generalized operators and  $\mathfrak{A}$  is topologically irreducible, then  $\mathfrak{A} = \mathcal{E}$  or  $\mathfrak{A} = \mathbb{C}I + \mathcal{E}$ , where  $\mathcal{E}$  is a semisimple Lie algebra.*

Finally we shall study the case when  $\mathfrak{A}$  contains a nonzero compact operator. Let  $\mathfrak{A} \subset \mathcal{B}(\mathcal{X})$  be an LM-decomposable Lie subalgebra of  $\mathcal{B}(\mathcal{X})$ , so that,

$$\mathcal{K}_{\mathfrak{A}} = \{K \in \mathfrak{A} \mid K \text{ compact operator}\} \neq 0.$$

Let  $\mathfrak{A} = \mathcal{A} + \mathcal{E}$  be a decomposition of  $\mathfrak{A}$ . We shall analyse the case when  $\mathcal{A}$  is not scalar. By Theorem 3, Section 2, we have (I) or (II). If we have (II), by Theorem 2, Section 2, we deduce that  $Z_{\mathcal{A}}$  is not scalar. But  $[\mathcal{E}, \mathcal{A}] = 0$ ; hence  $Z_{\mathcal{A}} \subset Z_{\mathfrak{A}}$  and there exists a nonscalar  $A_0 \in Z_{\mathfrak{A}}$ . Particularly,  $A_0$  commutes with a nonzero compact operator because  $0 \neq \mathcal{K}_{\mathfrak{A}} \subset \mathfrak{A}$ . We have proved

**THEOREM 7.** *Let  $\mathfrak{A} \subset \mathcal{B}(\mathcal{X})$ , be an LM-decomposable Lie subalgebra of  $\mathcal{B}(\mathcal{X})$ , and let  $\mathfrak{A} = \mathcal{A} + \mathcal{E}$  be a decomposition of  $\mathfrak{A}$ . If  $\mathfrak{A}$  contains a nonzero compact operator, then one of the following assertions is true:*

(1)  $\mathfrak{A} = \mathcal{E}$  or  $\mathfrak{A} = \mathbb{C}I + \mathcal{E}$ , where  $\mathcal{E}$  is a semisimple Lie algebra.

(2)  $0 \neq \mathcal{A} \neq \mathbb{C}I$  and one of the following statements is true:

(2<sub>1</sub>) there exists a nonscalar  $A_0 \in Z_{\mathfrak{A}}$ , such that  $A_0$  commutes with a nonzero compact operator,

(2<sub>2</sub>) there exists a commutative nonzero finite-dimensional ideal of  $\mathfrak{A}$ , consisting of nilpotent operators.

The irreducible variant of this theorem is an easy consequence of Lomonosov's theorem [6].

**THEOREM 7'.** Let  $\mathfrak{A} \subset \mathcal{B}(\mathcal{X})$  be an LM-decomposable Lie subalgebra of  $\mathcal{B}(\mathcal{X})$  with a decomposition  $\mathcal{A} + \mathcal{L}$ . If  $\mathfrak{A}$  contains a compact nonzero operator and  $\mathfrak{A}$  is topologically irreducible, then  $\mathfrak{A} = \mathcal{L}$  or  $\mathfrak{A} = \mathbb{C}I + \mathcal{L}$ , where  $\mathcal{L}$  is a semisimple Lie algebra and in addition  $\mathcal{A} = \{0\}$  or  $\mathcal{A} = \mathbb{C}I$ .

## REFERENCES

1. Séminaire "Sophus Lie" de l'Ecole Normale Supérieure 1954-1955, Théorie des algèbre de Lie. Topologie des groupes de Lie, Paris 1955.
2. N. JACOBSON, "Lie Algebras," New York, 1962.
3. I. COLOJOARA AND C. FOIAŞ, "Theorie of Generalized Spectral Operators," Gordon & Breach, New York, 1968.
4. D. GURARII, Banach uniformly continuous representations of Lie groups and algebras, *J. Funct. Anal.* **36** (1980).
5. D. GURARII AND JU. I. LUBICH, An infinite dimensional theorem analogous to Lie's weights theorem, *Funct. Anal. Appl.* **7** (1973).
6. V. I. LOMONOSOV, Invariant subspaces for the family of operators which commute with a completely continuous operator, *Funct. Anal. Appl.* **7** (1973).
7. I. STEWART, "Lie Algebras Generated by Finite Dimensional Ideals," Pitman, 1975.
8. M. ŞABAC, Une généralisation du théorème de Lie, *Bull. Sci. Math.* (2) **95** (1971).
9. C. FOIAŞ AND M. ŞABAC, A generalisation of Lie's theorem, (IV), *Rev. Roumaine Math. Pures Appl.* **XIX** (5) (1974).
10. M. ŞABAC, A generalisation of Lie's theorem, (III), *Rev. Roumaine Math. Pures Appl.* **XIX** (6) (1974).
11. M. ŞABAC, Solvable Lie algebras of operators on a Banach space, *Rev. Roumaine Math. Pures Appl.* **XXII** (3) (1978).
12. M. ŞABAC, Scalar generalized operators and irreducible representations of a Lie algebra, *Rev. Roumaine Math. Pures Appl.* **XXV** (5) (1980).
13. F. H. VASILESCU, On Lie's theorem in operator algebras, *Trans. Amer. Math. Soc.* **172** (1972).